

# Examples

## Kac algebras and subfactors

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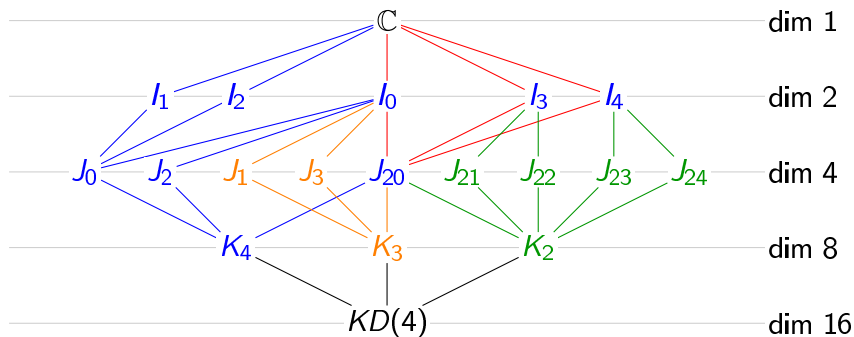
Conférence en l'honneur de Léonid Vainerman  
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## History

Kac algebras and subfactors

Intermediates factors and co-idealgebras

Exploration of Kac algebras  $KD(n)$  (work with Nicolas M. Thiéry)



## Kac algebras and subfactors

► **Kac algebras** : The theory of Kac algebras provides a unified framework for both group algebras and their duals.

- G. Kac (1960) : *Ring groups*

### Finite dimensional example

Kac, Paljutkin (1966) : *KP* de dim 8

- Leonid worked with George Kac from 1968 until his sudden death in 1978.
  - M. Enock et J.-M. Schwartz : from 1970 to book in 1992
- **Subfactors** : A playground for Kac algebras
- V. Jones *Index for subfactors (1983)*
  - D.Bisch (1992) : A note on intermediate subfactors.

## Irreducible inclusion of depth 2, quantum groups

► A. Ocneanu 199..

- T. Yamanouchi (1993) : Outer action of an fd Kac alg. on  $R$ .
- *finite index* : Szymanski, Longo, (1994) MCD. (1996)
- *general case* : Enock et Nest

► Sano (1996), MCD (1998) :

Tensorial product, bicrossed product and bicommutative square.

$$\begin{array}{ccccccc}
 N^{\mathbb{A}} \cap N^{\mathbb{B}} & \subset & N^{\mathbb{B}} & & & & \\
 \cap & & \cap & & & & \\
 N^{\mathbb{A}} & \subset & N & \subset & N \rtimes \mathbb{A} & = & N^{\mathbb{B}} \rtimes (\mathbb{A} \rtimes_{\gamma_b} \mathbb{B}) \\
 & & \cap & & \cap & & \\
 & & N \rtimes \mathbb{B} & \subset & L & = & N \rtimes (\mathbb{A} \otimes_T \mathbb{B})
 \end{array}$$

► M. Isuki, H. Kosaki : **Kac algebras** arising from **composition of subfactors** (2002).

$$G = H.K \quad \text{and} \quad R^H \subset R \rtimes K \quad \text{prof 2}$$

a lot of examples ( $\dim \leq 24$ ) and classification

## Some examples

- ▶ Y. Sekine : An example of finite dimensional Kac algebras of Kac-Paljutkin type (1996).
  
- ▶ **Twisting of group algebras**
  - Twisted coproduct
    - Enock, Vainerman (1996) :  $KP, KD(3)$  (dim 12)
    - Vainerman, Nikshych (1998) :  $KD(n)$  et  $KQ(n)$  de dim  $4n$
  
  - Twisted product :  
A. Masuoka : Cocycle deformations and Galois objects (2000).  
 $A_{4m}, B_{4m}$

## $C^*$ quantum groupoids for reducible inclusions

- **Weak Kac Algebras , weak Hopf algebras**
  - Yamanouchi (1994)
  - Böhm, Nill et Szlachányi (1998)
  - Vainerman, Nikshych (1998)
- **Finite index reducible inclusion of depth 2 and finite dimensional  $C^*$  quantum groupoids**
  - D. Nikshych et L. Vainerman : A characterisation of depth 2 subfactors of  $II_1$  factors (2000)

### Examples

3 quantum groupoids for the 3 inclusions of depth 2 and index 4  
a quantum groupoid of dimension 13 (see also Böhm, Szlachányi (1996))

- MCD (2005) : autodualité de la structure de  $C^*$ -groupoïde quantique des algèbres de Temperley-Lieb, action d'un  $C^*$ -groupoïde quantique sur  $R$  (D. Nikshych).

## Type $II_1$ hyperfinite factor (Murray-von Neumann 1937)

The type  $II_1$  hyperfinite factor is a von Neumann algebra, i.e. a weakly closed operator algebra ...

The type  $II_1$  hyperfinite factor by Leonid (according to Sébastien's slide)

It is the von Neumann algebra of an ICC amenable group.

- $\mathbb{C}\Gamma = \text{Vect}\{\lambda_g | g \in \Gamma\}$  (Let  $\Gamma$  a countable group)
- $L(\Gamma)$  is the weak closure of  $\mathbb{C}\Gamma$  acting on  $l^2(\Gamma)$ .
- $L(\Gamma)$  is a factor iff  $\forall h \neq e \quad |\{ghg^{-1} | g \in \Gamma\}| = +\infty$
- $\tau(x) = \langle \delta_e, x\delta_e \rangle$  is a trace.
- ICC groups :  $S_\infty, \mathbb{F}_n (n \geq 2), \dots$

- The **type  $II_1$  hyperfinite factor**  $R$  is an infinite dimensional algebra reminiscent of  $L^\infty(X, \mu)$  and  $M_n(\mathbb{C})$
- **Properties of  $R$  :**
  - **hyperfini** :  $R = \overline{\cup_{n>1} M_{2^n}(\mathbb{C})}$
  - **facteur** :  $R' \cap R = Z(R) = \mathbb{C}$
  - **type  $II_1$**  : finite normal faithful normalised trace ( $tr(xy) = tr(yx)$ ) such that  $tr(\mathcal{P}) = [0; 1]$ .  
For  $(x, y \in R)$ ,  $\langle x, y \rangle = tr(y^*x)$  is a scalar product.
- $L^2(R, tr)$  est **l'espace de Hilbert complété** de  $(R, \langle \cdot, \cdot \rangle)$  :

$$R \hookrightarrow L^2(R, tr)$$

- $L^\infty(X, \mu)$  acts on the left on  $L^2(X, \mu)$  by multiplication :

$$\begin{array}{ccc} M_f : L^2(X, \mu) & \rightarrow & L^2(X, \mu) \\ g & \mapsto & fg \end{array}$$

- $R$  acts on the left on  $L^2(R, tr)$  by multiplication :

$$y\Lambda(x) = \Lambda(yx) \quad (x \in R, y \in R)$$



## Inclusions of type $II_1$ factors (Jones 83)

Let  $N_0 \subset N_1$  a finite index inclusion of type  $II_1$  hyperfinite factors and  $tr$  the trace of  $N_1$ .

- Examples :  $R^G \subset R$  or, for  $K$  Kac alg.  $R^K \subset R$
- **Jones projection of  $N_0 \subset N_1$  :**  
**orthogonal projection  $f_1 : L^2(N_1, tr)$  on  $L^2(N_0)$**

$$N_0 = \{f_1\}' \cap N_1$$

$$\text{Ex : } f_1 = \sum_{g \in G} \frac{1}{|G|} u_g$$

- Basic construction : factor  $N_2 = \langle N_1, f_1 \rangle$  with the trace :  
 $tr(f_1 x) = \tau tr(x) \quad (x \in M_1)$   
 with  $\tau^{-1} = [N_1 : N_0]$  index of the subfactor.
- With the basic construction again, we get the **Jones tower** :

$$N_0 \subset N_1 \overset{f_1}{\subset} N_2 \overset{f_2}{\subset} N_3 \subset \dots$$

## Depth 2 irreducible subfactor (finite index)

- An invariant of the inclusion is the **derived tower**

$$N'_0 \cap N_1 \subset N'_0 \cap N_2 \stackrel{f_2}{\subset} N'_0 \cap N_3 \dots$$

- $N_0 \subset N_1$  **depth 2** if  $N'_0 \cap N_3 = \langle N'_0 \cap N_2, f_2 \rangle$

- **group action** :

Jones Tower :  $N_0 = R^G \subset N_1 = R \subset N_2 = R \rtimes G$

Relative commutants :

$N'_0 \cap N_2 = \mathbb{C}[G]$  and  $N'_1 \cap N_3 = L^\infty(G)$  in  $N'_0 \cap N_3$ .

- **general depth 2 subfactor**

- irreducible :  $N'_0 \cap N_1 = \mathbb{C}$
- $A := N'_0 \cap N_2$  and  $B := N'_1 \cap N_3$  finite dim.  $C^*$ -algebras
- **duality** :  $\langle a, b \rangle = [N_1 : N_0]^2 \text{tr}(af_2 f_1 b) \rightarrow$  **Kac algebras**
- $A$  acts on  $N_1$  :
  - $N_0 = N_1^A$ , fixed points algebra under the action of  $A$
  - $N_2 = N_1 \rtimes A$

# Kac algebras, twisting group algebras (Vainerman 98)

## Definition

$(K, \Delta, S, \varepsilon)$  is a **finite dimensional  $C^*$ -Hopf-algebra or Kac algebra**

- $K$  is a **finite dimensional  $C^*$ -algebra**  $(m, *, 1)$  :

**Example : Algebras of the dihedral group and quaternion group**

$$D_{2n} = \langle a, b \mid a^{2n} = 1, b^2 = 1, ba = a^{-1}b \rangle \rightarrow KD(n)$$

$$Q_n = \langle a, b \mid a^{2n} = 1, b^2 = a^n, ba = a^{-1}b \rangle \rightarrow KQ(n)$$

$$K = \mathbb{C}e_1 \oplus \mathbb{C}e_2 \oplus \mathbb{C}e_3 \oplus \mathbb{C}e_4 \oplus M_2(\mathbb{C}) \oplus M_2(\mathbb{C}) \dots M_2(\mathbb{C})$$

- $n - 1$  factors  $M_2(\mathbb{C})$
- $\dim(K) = 4n$
- with the normalised canonical trace :  
 $tr(e_i) = 1/4n, \quad tr(e_{j,j}) = 1/2n, \quad tr(1) = 1$

## Definition (continued) : $K$ is a co-algebra

- $\Delta$  a **coassociative coproduct** on  $K$  :  
homomorphism from  $K$  to  $K \otimes K$ ,  
 $(\Delta \otimes \text{id}) \circ \Delta = (\text{id} \otimes \Delta) \circ \Delta$

Standard coproduct, twisting the standard coproduct with a 2-(pseudo) cocycle

- For  $\mathbb{C}D_{2n}$  :  $\Delta_s(\lambda(g)) = \lambda(g) \otimes \lambda(g)$
- For  $KD(n)$  :  $\Delta(x) = \Omega \Delta_s(x) \Omega^*$   
with  $\Omega$  2-(pseudo) cocycle (a unitary) of  $H \otimes H$   
and  $H = \{1, a^n, b, ba^n\}$ .

- $\varepsilon$  a **counity** :  $\varepsilon(x) = \dim K \text{tr}(e_1 x)$  ( $x \in K$ )  
 $e_1$  is Jones projection of the inclusion
- $S$  an **antipode**, unique if  $\Delta$  and  $\varepsilon$  are given.

$$m \circ (\text{id} \otimes S) \circ \Delta = \varepsilon$$

## Galois correspondence

Intermediates subfactors  $\longleftrightarrow$  co-idealgebras

For an inclusion by group action  $(N_0 = R^G \subset N_1 = R)$  :

$$R^G \subset M \subset R \quad \iff \quad M = R^H \quad \text{avec} \quad H < G$$

**Inclusion of depth 2 (Vainerman, Nikshych 2000)**

$$N_1 \subset M_1 \subset N_2 \overset{p}{\subset} M_3 = \langle N_2, p \rangle \subset N_3 = N_2 \rtimes K$$

- Jones projections of intermediate subfactors (D. Bisch 1992)
- $I(p) = N'_1 \cap M_3 \subset K = N'_1 \cap N_3$
- $M_3 = N_2 \rtimes I(p)$

**Definition (co-idealgebra)**

An *involutive left coideal subalgebra*  $I$  of  $K$  is a  $C^*$ -subalgebra  $I$  with unit such that  $\Delta(I) \subset K \otimes I$

## $I(K)$ , the lattice of the co-idealgebras of $K$

- Isomorphism  
lattice of intermediates factors of  $N_2 \subset N_2 \rtimes K$  / lattice  $I(K)$
- $I \subset J \iff p_I$  dominates  $p_J$  (lattice of Jones projections)
- **Antiisomorphism of lattices**  $\delta$  from  $I(K)$  to  $I(\widehat{K})$  :  

$$\delta(I) = S(I)' \cap \widehat{K} \text{ in } \widehat{K} \rtimes K$$

$$\dim I \times \dim \delta(I) = \dim K$$

For groups

$$\begin{array}{lcl} I(\mathbb{C}[G]) & \rightarrow & I(L^\infty(G)) \\ \mathbb{C}[H] & \mapsto & \text{invariant functions modulo } H \end{array}$$

- Self-duality of  $K \implies I(K)$  is antiisomorphic to itself.
- The Bratelli diagram of  $I \subset K$  gives the **principal graph** of  $R \subset R \rtimes \delta(I)$   
The principal graph of an inclusion is an invariant in relation with the derived tower.

## How to find co-idealgebras?

How to find subgroups in a group?

Propositions (Vainerman, Nikshych 2000)

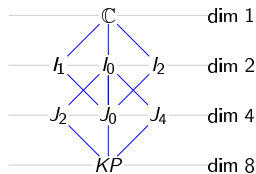
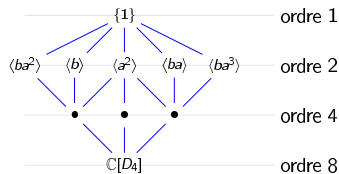
- The restriction of  $\varepsilon$  to  $I$  is a positive linear form on  $I$ .
- There exists an unique projection  $p_I$  (called *Jones projection* of  $I$ ) in the center of  $I$  such that :

$$\forall x \in I \quad \varepsilon(x) = \dim I \operatorname{tr}(p_I x) \quad \text{et} \quad Ip_I = \mathbb{C}p_I$$

- $p_I$  dominates  $e_1$ .
- $\dim I = [M_3 : N_2] = \operatorname{tr}(p_I)^{-1}$  divides  $\dim K$ .
- The right legs of  $\Delta(p_I) = \sum_k S(x_k^*) \otimes x_k$  span  $I$ .  
Notation :  $I = I(p_I)$
- $\Delta(p_I)(1 \otimes p_I) = p_I \otimes p_I$

## A first example

$KP$  is a deformation of  $\mathbb{C}[D_4]$ .



For bigger examples, I needed help !

Exploration of  $KD(n)$  with **Nicolas M. Thiéry** and  
MuPAD-Combinat (now Sage-Combinat).

(to be published in Journal of Algebra and Its Applications)



## Some general results for $KD(n)$

### Proposition

- 3 co-idealgebras of dimension  $2n$  :  $K_i := I(e_1 + e_i)$
- $K_2 := I(e_1 + e_2)$  is commutative ; it is isomorphic to  $L^\infty(D_n)$
- Co-idealgebras of dimension dividing  $2n$  are in  $K_2, K_3$  or  $K_4$ .

### Proposition

- If  $d|n$  then  $KD(d) \hookrightarrow KD(n)$  via  $a \mapsto a^{n/d}$  (russian dolls)
- All co-idealgebras containing  $H$  arise this way.

### Corollary

Intrinsic group of  $KD(n)$  :

- if  $n$  odd, it is  $H \rightarrow J_0$
- if  $n$  even, it is  $D_4 \rightarrow K_0$

## Automorphisms, isomorphisms, self-duality

### Theorem

$KD(2m)$  is isomorphic to  $KQ(2m)$ .

$$\phi : \begin{cases} a & \mapsto \frac{1}{4}(a - a^{-1})(a^m - a^{-m})(a^m - b) + a \\ b & \mapsto \frac{1}{2}(a^m + a^{-m})(b + i) - ia^m \end{cases}$$

### Theorem

$KD(2m + 1)$  and  $KQ(2m + 1)$  are self-dual.

Isomorphism for  $KD(n)$  :

$$a \mapsto n(\widehat{2e_{1,1}^{n-1}} + \widehat{e_{2,2}^1} - \widehat{e_{1,1}^1} - \widehat{e_{1,2}^{n-1}} - \widehat{e_{2,1}^{n-1}}), \quad b \mapsto 4n\widehat{e_4}$$

### Theorem

Automorphism group of  $KD(n)$  and  $KQ(n)$  :  $\mathbb{Z}_{2n}^* \rtimes \mathbb{Z}_2$

$$a \mapsto a^r, \quad b \mapsto b, \quad \text{pour } r \wedge 2n = 1$$

$$a \mapsto a - \frac{1}{2}(a - a^{-1})(1 + a^n), \quad b \mapsto a^n b$$

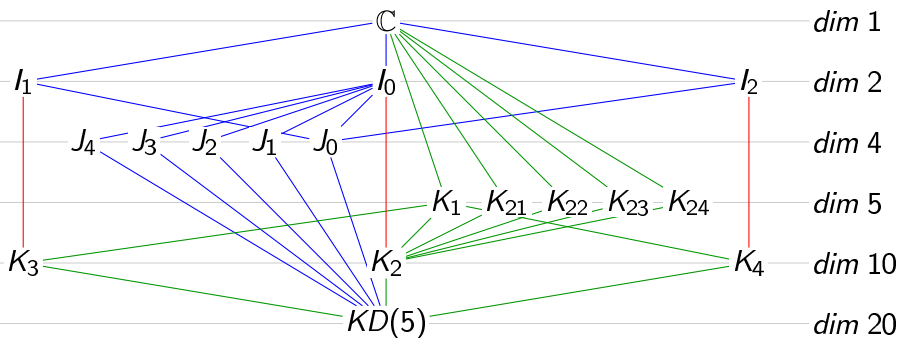
## Algorithm for computing (all) Kac algebra isomorphisms

- $K := K(\mathbb{C}[G], H, \Omega)$  : Kac algebra obtained by twisting some  $\mathbb{C}[G]$  via a 2-pseudo cocycle  $\Omega$  of  $H < G$
- $K'$  : any Kac algebra
- Problem :  $K \approx K'$ ? Explicit isomorphism  $\phi$ ?
- If yes :  $K'' := K(K', \phi(H), \phi(\Omega^*)) \approx \mathbb{C}[G]$
- Algorithm :
  - Compute the intrinsic group  $H'$  of  $K'$
  - Compute possible embeddings  $\rho$  of  $H$  into  $H'$
  - Define  $K'' := K(K', \rho(H), \rho(\Omega^*))$
  - Compute the intrinsic group  $G''$  of  $K''$
  - If  $K'' = \mathbb{C}[G'']$ , compute isomorphisms from  $G$  to  $G''$  compatible with  $\rho$ .

# The lattice of co-idealgebras of $KD(n)$ ( $n$ prime)

## Theorem

For  $n$  prime, the lattice is like this of  $KD(5)$  :



# The lattice of co-idealgebras of $KD(n)$ ( $n$ odd)

## Propositions

- $J_0 = \mathbb{C}[H]$
- *Dim 2 : algebras of the 3 subgroups of  $H$*
- *Dim  $2n$  :  $K_2, K_3, K_4$*
- $K_2 = L^\infty(D_n)$
- $K_3$  and  $K_4$  isomorphics but not Kac subalgebras  
 $\Delta(e_1 + e_4) \implies$  *unités matricielles de  $K_4$*
- *Co-idealgebras of dimension dividing  $n$  are in  $K_2$*
- *Dim  $n$  :  $n$  co-idealgebras  
( constant functions modulo subgroups of order 2 of  $D_n$ )*
- *Dim 4 :  $n$  co-idealgebras*
- *For  $n$  prime, the list is complete.*

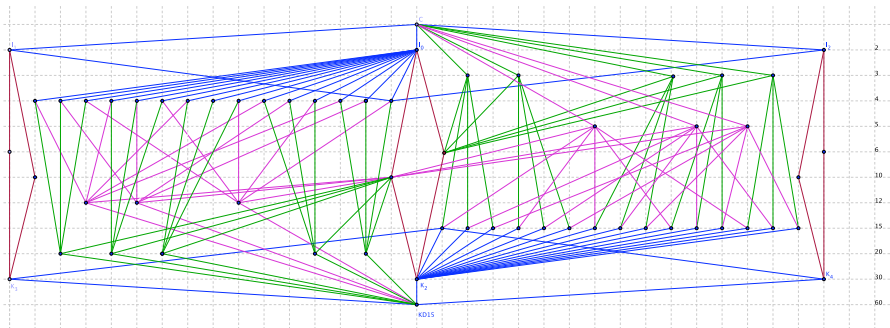
## The lattice of co-idealgebras of $KD(n)$ ( $n$ odd)

More co-idealgebras in  $K_2$ .

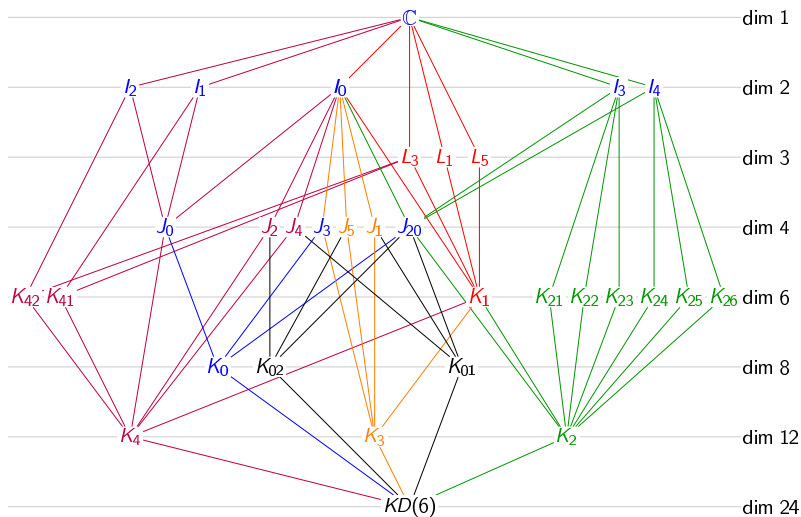
And the co-idealgebras  $I_2 \subset I \subset K_4$  of  $\dim 2k|2n$ ? Idem in  $K_3$ .

### Conjecture

*For  $n$  odd, the lattice is like that of  $KD(15)$  :*



true for  $n \leq 51$ .  $\dim KD(51) = 404$ . A week for the computer !

Co-idealgebras lattice of  $KD(6)$ 

$$K_4 = KD(3) \quad K_3 = KQ(3) \quad K_2 = L^\infty(D_6) \quad K_0 = \mathbb{C}[D_4]$$

# The lattice of co-idealgebras of $KD(2m)$

## Propositions

- $K_2 = L^\infty(D_n)$
- *Intrinsic group algebra  $K_0$  is generated by  $a^m$  and  $b$  and isomorphic to  $KD(2)$ ,*
- *$K_4$ , generated by  $a^2$  and  $b$ , is isomorphic to  $KD(m)$  (russian dolls)*
- *Co-idealgebras of dim dividing  $2n$  are in  $K_2, K_3, K_4$*
- *For  $m$  odd,  $K_3$  is isomorphic to  $KQ(m)$ .*  
*For every  $m$ ,  $K_3$  is the **self-dual** Kac algebra  $B_{4m}$  (A. Masuoka 2000). We show that it is not a twisted group algebra.*



- The family  $KD(n)$  contains the others families  $KP, A_{4n} = \widehat{KD(n)}, B_{4n}, KQ(n)$
- It has a rich and various structure.
- Kac algebras and subfactors go together
- To apply theorems on examples gives life to theorems.
- The exploration of examples needs computer.

Thank you, Leonid !